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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/008,399	12/06/2001	Arturo A. Rodriguez	A-7492	2909
5642	7590	05/09/2008		
SCIENTIFIC-ATLANTA, INC.			EXAMINER	
INTELLECTUAL PROPERTY DEPARTMENT			SALCE, JASON P	
5030 SUGARLOAF PARKWAY			ART UNIT	PAPER NUMBER
LAWRENCEVILLE, GA 30044			2623	
			NOTIFICATION DATE	DELIVERY MODE
			05/09/2008	ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PTOmail@sciatl.com

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	10/008,399	RODRIGUEZ, ARTURO A.
	<b>Examiner</b>	<b>Art Unit</b>
	Jason P. Salce	2623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### **Status**

- 1) Responsive to communication(s) filed on 25 January 2008.
- 2a) This action is **FINAL**.                            2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### **Disposition of Claims**

- 4) Claim(s) 1-16, 19-25, 27-65 and 68-97 is/are pending in the application.
- 4a) Of the above claim(s)        is/are withdrawn from consideration.
- 5) Claim(s)        is/are allowed.
- 6) Claim(s) 1-16, 19-25, 27-65 and 68-97 is/are rejected.
- 7) Claim(s)        is/are objected to.
- 8) Claim(s)        are subject to restriction and/or election requirement.

#### **Application Papers**

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on        is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### **Priority under 35 U.S.C. § 119**

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
  1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No.       .
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### **Attachment(s)**

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) Information Disclosure Statement(s) (PTO/SB/08)  
 Paper No(s)/Mail Date       .
- 4) Interview Summary (PTO-413)  
 Paper No(s)/Mail Date,       .
- 5) Notice of Informal Patent Application
- 6) Other:       .

## **DETAILED ACTION**

### ***Response to Arguments***

Applicant's arguments with respect to claims 1-16, 20-25, 27-65 and 68-97 have been considered but are moot in view of the new ground(s) of rejection.

### ***Election/Restrictions***

This application contains claims 105-111 drawn to an invention nonelected in the reply filed on 1/25/2008. A complete reply to the final rejection must include cancellation of nonelected claims or other appropriate action (37 CFR 1.144) See MPEP § 821.01.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims, 1-16, 20-24, 27-45, 49-65, 68-73 and 75-94 are rejected under 35 U.S.C. 102(e) as being clearly anticipated by Ukai et al. (U.S. Patent No. 7,096,486).

Referring to claim 1, Ukai discloses tracking a plurality of viewing parameters corresponding to services that are provided to a user (see Figure 3 and Column 5,

**Lines 11-28 for storing/tracking a plurality of viewing parameters (*program name, date and time, genre, time period, language and preference measure*) corresponding to services that are provided to the user (*note that a language and genre and the time a program is broadcasted is representative of a television service provided to the user*).**

Ukai also discloses determining a user preference for each of the plurality of viewing parameters (see **Figure 4 and Column 5, Lines 29-39 for the system determining a view time period which represents a user preference that is determined by monitoring how long a user watches a program and recording that time in memory**).

Ukai also discloses tracking the user preferences by assigning a score to each of the plurality of viewing parameters (see **Figure 5 and Column 5, Lines 40-55 for assigning a view score to each program's user preferences being tracked**).

Ukai also discloses determining an overall user preference score for the plurality of tracked viewing parameters based on a weighted linear combination of scores associated with each of the plurality of tracked viewing parameters for the user (see **Figure 6 and Column 5, Line 56 through Column 6, Line 20 for determining an overall user preference by calculating a specific view score, which is calculated by dividing the total view score by the number of programs viewed, where the number of program viewed represents that the overall user preference score (time viewed watching a television program) is calculated based on a weighted linear combination of scores associated with each of the plurality of tracked**

**viewing parameters)). The examiner further notes that the total number of programs represents a weighted linear combination because if one user a program 19 times and a second user watches a program 10 times, this represents a different weight in regards to the interest the user has in the program.**

Ukai also discloses receiving user input requesting television functionality (see **Figure 6 and Column 6, Lines 2-7 for receiving a user input everytime the user inputs a request to view a program**).

Ukai also discloses providing the user with a result that is responsive to the user input and the overall user preference score (see **Figure 17 and Column 15, Lines 21-23 for displaying an EPG that displayed programs that are preferred by the user based on the preferences scores determined by the scoring of the programs, where the result is shown by graphic 1704**).

Referring to claim 2, Ukai discloses that the user preference is determined based on a duration that service characterized by one or more of the plurality of viewing parameters is presented to the user (see **Figures 4 and 6 for determining the user's preference by using a duration (view time period 404) that a program has been viewed**).

Referring to claim 3, Ukai discloses that the user preference is determined based on a frequency that a service characterized by one or more of the plurality of viewing

parameters is presented to the user (**see Figure 6 for determining a user preference 604 based on a number of programs 603 viewed**).

Referring to claim 4, see the rejection of claims 2-3.

Referring to claim 5, Ukai discloses that the user preference is for a service (**see the rejection of claim 1 and note that the service is the broadcasting of television program for viewer selection**).

Referring to claim 6, Ukai discloses that the user preference conflicts with another user preference (**see Figure 3 and note that the two programs in table 300 are show at two overlapping/conflicting time periods in table entries 303**).

Referring to claim 7, Ukai discloses that the user preference is defined by the user (**see Figure 4 for the user preference being determined by how long the user watches a television program**).

Referring to claim 8, Ukai discloses that the user preference is determined by tracking series that are provided by a digital home communication terminal (**see Figure 1 and Column 4, Lines 8-35 for the user preferences being tracked by a television receiver**).

Referring to claim 9, Ukai discloses that the result is only provided if a preference mode is activated (**see Column 4, Lines 21-53 for determining user preferences only when a user enters a program to be viewed, thereby activating the preference determination process**).

Referring to claims 10-12, see the rejection of claim 9.

Referring to claim 13, Ukai discloses that the user input indicates a preference against one or more of the plurality of viewing parameters (**see Figure 5 for the user viewing a program for a first time and second time, thereby showing entering a first time against a second time**).

Referring to claim 14, see the rejection of claim 13 and further note that the user can selection multiple programs (**see again Figure 5**).

Referring to claim 15, Ukai discloses that a preference tracking database is used to keep track of the user preference (**see Figure 3**).

Referring to claim 16, see the rejection of claim 15 and note that the database keeps track of more than one user preference.

Referring to claims 20-22, Ukai discloses that the overall user preference score for the plurality of tracked viewing parameters changes over time, is revised using statistical analysis and determined using artificial intelligence (**see Figure 5 and Column 5, Lines 40-55**).

Referring to claim 23, Ukai discloses that the data identifying a user preference is stored in non-volatile memory (**see storage means 108 in Figure 1**).

Referring to claim 24, Ukai discloses that data identifying the user preference is stored within a digital home communication terminal (**see the rejection of claim 23**).

Referring to claims 27-35, see Figures 3 and 6 and Column 5, Lines 11-28 and Column 5, Line 56 through Column 6, Line 20.

Referring to claims 36-41, see Figures 17, 21, 25-26, Column 14, Line 45 through 18, Line 7.

Referring to claims 42-45, see Figure 12 and Column 9, Line 21 through Column 10, Line 50.

Referring to claims 49-65, 68-73 and 75-94, see the rejection of claims 1-16, 20-24 and 27-45, respectively.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 25, 46-48, 74 and 95-97 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ukai et al. (U.S. Patent No. 7,096,486).

Referring to claim 25, Ukai discloses all of the limitations of claim 1, but fails to teach that the preference data is stored at the headend.

The examiner takes Official Notice that preference data can be stored at a headend.

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art, to modify the program selection system, as taught by Ukai, using preference data stored at a headend, as taught by the examiner's Official Notice, for the purpose of requiring less memory storage by a client device, therefore being able to provider cheaper client devices to consumers.

Referring to claims 46-48, Ukai discloses all of the claim limitations in claims 45-47, respectively, but fails to teach a conditional access system that will not tune to a program selection unless a user enters his/her PIN/password.

The examiner takes Official Notice that parental control programs commonly reside on television receiver devices.

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art, to modify the program selection system, as taught by Ukai, using the conditional access/parental control system, as taught by the examiner's Official Notice, for the purpose of restricting children from watching objectionable television content.

Referring to claim 74, see the rejection of claim 25.

Referring to claims 95-97, see the rejection of claims 46-48, respectively.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jason P. Salce whose telephone number is (571) 272-7301. The examiner can normally be reached on M-F 9am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Miller can be reached on (571) 272-7353. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jason P Salce/  
Primary Examiner, Art Unit 2623

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May 6, 2008